



**STATE OF IOWA  
FLOOD MITIGATION PROGRAM  
PROGRESS REPORT**

PERIOD COVERED BY THIS REPORT: 5/1/2020 to 10/31/2020  
 LOCAL CONTACT NAME: Jim Ferneau  
 GOVERNMENTAL ENTITY: City of Burlington  
 ADDRESS: 400 Washington Street  
 Burlington, IA 52601  
 TELEPHONE NUMBER: 319.753.8120  
 PROJECT TITLE: Burlington Flood Mitigation Project  
 AGREEMENT NUMBER: 2015-0  
 ACTIVITY COMPLETION TIMEFRAME: 4/13/2015 to 12/31/2023

	FEDERAL	LOCAL	STATE (STIF only)	TOTAL
TOTAL FUNDS APPROVED:	\$ 17,036,693	\$ 20,982,542	\$ 26,200,000	\$ 64,219,235
TOTAL FUNDS EXPENDED TO DATE:	\$ 11,231,952	\$ 4,627,781	\$ 8,619,518	\$ 24,479,251
<b>PROJECT OVERRUN/ (UNDERRUN):</b>	<b>\$ (5,804,742)</b>	<b>\$ (16,354,761)</b>	<b>\$ (17,580,482)</b>	<b>\$ (39,739,984)</b>
The percentage of actual work that has been completed at the end of the reporting period (not a % of funds expended)				<b>40%</b>
The estimated cost of the project at completion (which may even exceed the awarded amount)				<b>\$ 64,219,235</b>

Type of Expense & Funding Source	Budget (from Application)	Federal/ Local/ State (STIF only)	Total Expended to Date	Remaining Balance
<b>Phase I- Hawkeye Equalization Tanks</b>	\$ 3,851,000			
SRF		Federal	\$ 3,851,000	
			\$ -	
TOTAL			\$ 3,851,000	\$ -
<b>Phase II- Water Works System</b>	\$ 1,511,000			
SRF		Federal	\$ 1,511,000	
			\$ -	
TOTAL			\$ 1,511,000	\$ -
<b>Phase III- Cascade Watershed</b>	\$ 2,854,920			
Cascade Sewer Study		Local	\$ 609,016	
Cascade Sewer Separation Phase I		Local	\$ 1,919,307	
Cascade Sewer Separation Phase II		Federal	\$ 797,389	
Cascade Sewer Separation Phase III		Local	\$ 999,010	
TOTAL			\$ 4,324,722	\$ (1,469,802)
<b>Phase IV- MASL Watershed Sewer</b>	\$ 22,629,543			
SRF P&D Loan		Federal	\$ 1,308,957	
SRF Construction Phase		Federal	\$ 3,261,589	
CDBG Construction		Federal	\$ 428,577	
Locust Sponsored Project		Federal	\$ 73,440	
Additional Work for MASL		Local	\$ 1,100,448	
TOTAL			\$ 6,173,010	\$ 16,456,533
<b>Phase V- South Side of Market to</b>	\$ 5,818,894			
Engineering		State	\$ 914,848	
Construction		State	\$ 6,582,343	
TOTAL			\$ 7,497,191	\$ (1,678,297)

<b>Phase VI- South Side of Market to</b>	\$ 5,669,723			
Engineering		State	\$ 342,619	
Construction		State	\$ -	
TOTAL			\$ 342,619	\$ 5,327,104
<b>Phase VII- Tieback Wall on Market</b>	\$ 5,119,348			
			\$ -	
			\$ -	
TOTAL			\$ -	\$ 5,119,348
<b>Phase VIII- MASL Detention Storage</b>	\$ 4,500,000			
			\$ -	
			\$ -	
TOTAL			\$ -	\$ 4,500,000
<b>Phase IX- WWTP and Railroad Yard</b>	\$ 8,354,877			
			\$ -	
			\$ -	
TOTAL			\$ -	\$ 8,354,877
<b>Bond Interest and Financing Costs</b>	\$ 3,909,930			
Phase V 2017 borrowing		state	\$ 549,735	
Phase V 2018 borrowing		State	\$ 148,693	
Phase VI 2020 borrowing		state	\$ 81,280	
TOTAL			\$ 779,708	\$ 3,130,222
<b>Total Project Budget Summary</b>	<b>\$ 64,219,235</b>		<b>\$ 24,479,251</b>	<b>\$ 39,739,984</b>
<b>FUNDING SOURCE:</b>	<b>FEDERAL</b> (from Application)	<b>LOCAL</b> (from Application)	<b>STATE (STIF only)</b> (from Application)	<b>Total Expended to Date</b>
SRF Funding- Hawkeye Equal Tank	\$ 3,851,000			\$ 3,851,000
SRF Funding- Water System Improvement	\$ 1,511,000			\$ 1,511,000
SRF Funding- MASL P&D	\$ 1,000,000			\$ 1,308,957
Local Funding- Cascade Sewer Sep.	\$ -			\$ 999,010
SRF Funding- Cascade Sewer Sep.	\$ 1,001,920			\$ 797,389
SRF Funding- Future MASL Sewer Sep	\$ 9,672,773			\$ 3,261,589
Downtown Riverfront Flood Mitigation		\$ 2,672,772	\$ 26,200,000	\$ 8,619,518
Cascade Sewer Study		\$ 593,000		\$ 609,016
Cascade Separation Project Phase I		\$ 1,260,000		\$ 1,919,307
MASL Sewer Sep. 2016-2022		\$ 16,456,770		\$ 1,100,448
CDBG Funding-MASL				\$ 428,577
SRF Sponsored Project - Locust				\$ 73,440
				\$ -
				\$ -
				\$ -
<b>Total Project Funding Source</b>	<b>\$ 17,036,693</b>	<b>\$ 20,982,542</b>	<b>\$ 26,200,000</b>	<b>\$ 24,479,251</b>
<b>Indebtedness Incurred</b> (Bonds, etc.)	<b>Rate of Interest</b>	<b>Length of Term</b> (start & end)	<b>Costs of Issuance</b>	<b>Net Proceeds</b>
<b>Phase V 2017 borrowing</b>	3.00%	4/13/17-6/1/28	\$ 62,383	\$ 5,006,508
<b>Phase V 2018 borrowing</b>	3.00%	5/1/18-6/1/32	\$ 28,380	\$ 1,900,000
<b>Phase VI 2020 borrowing</b>	2.30%	5/6/18-6/1/34	\$ 81,280	\$ 4,200,000

NON-PUBLIC INVESTMENT - Entity	Total to Date
Private Investment in Project Area (from building permits and Downtown Partners, Inc.'s monitoring)	\$ 18,671,989
	\$ -
	\$ -
<b>Total Non-Public Investment</b>	<b>\$ 18,671,989</b>

Project Status- entire project (Check One)	Description of significant activities this <b>semi-annual term</b> . Include comparison of actual accomplishments to the objectives identified in your application. Attach pictures and a narrative. Status changes or delays, please explain reason.
<input checked="" type="checkbox"/> On Schedule <input type="checkbox"/> Delayed <input type="checkbox"/> Canceled <input type="checkbox"/> Completed <input type="checkbox"/> Suspended	See attached Description of Significant Activities

Application Work Schedule (Milestones)			Milestone Status
#	Description:	Submitted Completion Date:	Actual Completion Date:
1	Phase I- Hawkeye Equalization Tanks, Phase II- Water Works	12/31/2009	12/31/2009
2	Phase III- Cascade Watershed Separation Project	12/31/2016	5/31/2017
3	Phase IV- MASL Watershed Sewer Separation Project	12/31/2022	
4	Phase V- South Side of Market to North of Port Building	12/31/2017	12/31/2019
5	Phase VI- South Side of Market to Rail Yard w/Market Street	12/31/2020	
6	Phase VII- Tieback Wall on Market and Pump	12/31/2022	
7	Phase VIII- MASL Detention Storage	12/31/2023	
8	Phase IX- WWTP and Railroad Yard Closures	12/31/2023	
9			
10			
14			

Person Completing this Report:

Jim Ferneau

Date:

11/12/2020

I, the undersigned, hereby certify that the above information is accurate and true, and in accordance with the approved project plan and state and federal regulations and policies governing this award.

Signature on file

Signature of Authorized Representative or Governmental Entity

Jim Ferneau, City Manager

Name of Authorized Representative or Governmental Entity

**Instructions to complete this form**

Areas shaded  are to be completed by State HSEMD Personnel.

Areas shaded  are to be completed by the governmental entity or authorized administrator.

**Description of significant activities of this semi-annual term. Include comparison of actual accomplishments to the objectives identified in your application. Attach pictures and a narrative. Status changes or delays, please explain reason.**

Phase V, moving in to Phase VI

Phase V of the project is complete, with all remaining retainage paid out during the past 6 months. We were able to bid out Phase VI, award bid to S&G Construction, and begin construction in October 2020 on this phase of the flood wall, which will include tying the flood wall at both the north and south ends from the riverfront west across the shortline railroad, including the installation of rail gate closures. Low river levels, for the first time in a couple years, has helped this phase of the project begin in a timely fashion.







### MASL Sewer Separation

Construction on the Locust Basin is approximately 60% complete. Our overall project costs, including engineering, for this phase of the MASL project are now anticipated to be \$8.5 Million. As discussed in previous reports, our current estimates for remaining portion of the MASL Sewer Separation work are currently approximately \$90 Million for construction costs. We have been working with Iowa DNR on a potential revision to our project schedule, which may see some of the MASL work completed on a delayed schedule from what was included in our grant application. FYE Excavating began work in June 2019 on the Locust Basin portion of the MASL project, and is anticipated to be complete with the majority of their work by late fall 2020.

### Private Investment

Private investment in the project area has amounted to \$18,671,989 as reported through Downtown Partners, Inc. since project inception. One major current project is the redevelopment of a building at the corner of 5<sup>th</sup> St and Valley St, which was completed in October 2020. The owners have constructed approximately 35 upper story housing units. For perspective, our downtown area currently has about 200 upper story housing units, so this represents a significant new development in our downtown area.