Iowa School Safety Grants

Prospective Applicant Webinar

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Opening Comments

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Agenda

- Overview of School Safety Improvement Funding
- Eligibility Requirements
- Application Process and Requirements
- Application Template Tutorial
- Federal Compliance and Reporting
- Key Guidance and Resources
- Key Contacts

Overview of School Safety Improvement Funding

Iowa Department of Homeland Security and Emergency Management (HSEMD) in conjunction with the Iowa Department of Education is offering grants to PK-12 School Districts to support school safety improvements.

Each School district may **apply for up to $50,000 per school building to make minor capital security improvements** aligned with each building's completed vulnerability assessment recommendations.

Program Objectives

Perform security assessments in concert with local authorities and assist the schools in creating and improving school security plans

Invest in **minor capital improvements** for security enhancements from one or more of the six categories identified as eligible minor capital improvements

The six categories of eligible minor capital improvements are:
1. entry control
2. electronic security and communication systems
3. barriers
4. perimeter security
5. Illumination
6. building envelope

Examples of Minor Capital Improvements include but are not limited to:

- Locks
- ID actuated systems
- Duress alarms
- Intrusion detection systems
- Radios
- Mass notification systems
- Cameras
- Video servers

- Fixed or moveable barriers
- Fencing
- Security lighting
- Blast/safety film
- Reinforced doors, doorframes, and windows
- Call buttons
- Door prop sensors

Fire Safety Requirements for Capital Improvements

Some* minor capital improvements and/or other associated security enhancements or modifications to any school building must be reviewed and approved by the State Fire Marshals Officer (SFM) or the school district's local fire inspector in accordance with Iowa Administrative Code 661-300 **prior to installation of the proposed modifications.**

For more information on this requirement and/or to submit proposed modifications to the State Fire Marshal, please visit the State Fire Marshal Website

NOTE: Submitted proposed modifications could take up to 90 days or more to be approved by State Fire Marshal.

* Refer to the "NEW School Safety Grant Program State Fire Marshal Submission Guide 2022-12-21" document from the State Fire Marshal Office

Eligibility for Capital Improvement Funding

1. **To be eligible to apply for School Safety Improvement funding**, **a current vulnerability assessment is required**. Upon completion of a vulnerability assessment(s), School districts (public and private), may apply for the School Safety Improvement grant funding.

2. **The school district must be listed on the 2021-2022 BEDS report**, which is used to determine the maximum school safety grant award amount per school district.

3. **Proof of appropriate coordination and necessary approval from the State Fire Marshal or the School District's local fire inspector prior to installation of Some* minor capital improvements** and/or other associated security enhancements or modifications will be required to be eligible for reimbursement under this subaward.
   - **Documentation providing proof of this compliance is required as part of the reimbursement request.**

Vulnerability Assessment Requirements

1. **A copy of a valid vulnerability assessment and/or other documentation must be submitted as part of the completed application and meet the following requirements:**

   - Must include defined vulnerabilities and options for consideration to mitigate vulnerabilities

   - Any vulnerability assessments completed prior to the application cannot be more than 3 years old

2. **A vulnerability assessment must be completed by one of the three options listed below:**

   a. Assessment by the State of Iowa and/or the State of Iowa contracted 3rd party entity

   b. Assessment by Law Enforcement

   c. Assessment by Vulnerability Assessment Services Providers
      - Contracting with a vulnerability assessment service provider will not be a reimbursable expense.
      - A vulnerability assessment completed utilizing a school self-assessment tool or vulnerability assessment service provider other than the State of Iowa and/or the State of Iowa contracted 3rd party entity will be required to have an official letter from local law enforcement validating the vulnerabilities and options for consideration identified from the self-assessment.

State Provided Support for Completion of Vulnerability Assessments

To qualify for a vulnerability assessment provided by the state contractor, a school must be a PK-12 accredited attendance center

Access to Subject Matter Experts to Complete the Required School Security Vulnerability Assessment
- This program makes available school safety experts to review individual PK-12 school buildings for vulnerabilities that could be exploited in an active assailant/armed intruder scenario.

How to get support for completion of School Safety Vulnerability Assessments.
- School districts (public and private) can request a vulnerability assessment for their individual PK-12 school buildings through completion of the "School Safety Vulnerability Assessment" survey issued by the Iowa Department of Education in the Consolidated Accountability and Support Application (CASA) system.

Maximum Award Determination and Eligible Buildings

What is the maximum award that each school district or nonpublic school is eligible to receive?

- For the purposes of this grant, the maximum amount of funds that a school district or a nonpublic school is eligible to receive is based on total school building count multiplied by $50,000
  - (e.g., three buildings will generate $150,000 in grant funds)

- The budget for the school safety improvement grant was based on the 2021-2022 building count as reported by each district/system in the 2021-2022 BEDS (Basic Educational Data Survey).

Are the school safety improvement grant funds restricted to the school buildings included in the 2021-2022 building count?

- Security improvements on any eligible school building, as defined by the program's policy, could be eligible for reimbursement (up to the maximum possible award) if the use of funds addresses a security issue identified in the school's vulnerability assessment.

How is a School Building Defined?

Per the definitions of school buildings and attendance centers found in Iowa Administrative Code 281-14.3 and 281-17.2, respectively:

"Any public or non-public attendance center containing classrooms used for instructional purposes for pre-school, elementary, middle, or secondary school students."

This **does NOT include** community partner preschools.

Application Process and Requirements (1 of 2)

1. **School districts (public and private) must indicate and provide their Notice of Interest (NOI)** in the School Safety Improvement grant funding opportunity through **completion of the "School Safety Improvement Funding NOI" survey in the** Consolidated Accountability and Support Application (CASA) system.

2. **Once a school district (public and private) has indicated their notice of interest** via the survey, **the grant application and instructions will be provided, via email, to the school district's point of contact designated within their survey response.**

3. **Verify that the current vulnerability assessment is completed for each school building for which funds are being sought.**

    - Vulnerability assessments must include defined vulnerabilities, options for consideration to mitigate vulnerabilities, and meet all other state vulnerability assessment requirements.

4. **Submit the completed Excel application and signed documents via upload into the EMGrants portal.**

Steps to Prepare for Applying

1. **Obtain a Unique Entity Identification (UEI) number, if you do not already have one.**
   - UEI numbers replaced DUNS numbers in April 2022.
   - UEI numbers can be requested SAM.gov
   - Ensure UEI number is publicly viewable
   - Beware of backlog impacting the SAM.gov verification process

2. **After completion of School Safety Vulnerability Assessment Survey, via the CASA System, the school district Point of Contact listed in the survey will receive an email that contains the School Safety Grant Application Excel file.**
   - Only School Districts that respond Yes to the School Safety Improvement Grant Program question will receive a grant application

3. **Submit an Access Request for School Safety Improvements funding in EM Grants Portal.**
   - Instructions on how to register for access for the School Safety Improvements Program are provided in the School Safety Grants Application Package sent via email to School District points of Contacts
   - Portal Access is required for School districts to upload completed grant applications

Key Dates and Timelines

- **September 1, 2024:** Notice of Interest Submission Due Date

- **October 1, 2024:** School Safety Capital Improvement Grants Application Due Date

- **December 31, 2024:** End of School Safety Grant Period of Performance
  - The period of performance begins at the time of receipt of the approved subaward documentation and ends on December 31, 2024.
  - All costs incurred prior to the approved subaward and after December 31, 2024, are not eligible uses of these funds.
  - All costs associated with an approved application must be incurred (obligated to pay) on or before December 31, 2024.
  - All work must be completed, and reimbursement submitted to and paid no later than December 31, 2025, or 60 days after the completion of work, whichever comes first.

Application Template Tutorial

Federal Compliance and Reporting

All subrecipients (School Districts) of grant funds must comply with the full terms and conditions of the grant and are responsible for understanding all relevant guidance and terms and conditions of the grant award.

All subrecipients of grant funds must comply with:
- Provisions of the Code of Federal Regulations (CFR) Uniform Guidance for Federal Awards (2 CFR Part 200)

Schools will be provided technical assistance to support their compliance with federal policies and regulations.

What is 2 CFR 200?

2 CFR 200 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards establishes governmentwide requirements for federal grants and cooperative agreements.

2 CFR 200 contains six subparts and twelve appendices.
The subparts of 2 CFR 200 are aligned with the award lifecycle:
- Subpart A - Acronyms and Definitions (§§ 200.0 - 200.1)
- Subpart B - General Provisions (§§ 200.100 - 200.113)
- Subpart C - Pre-Federal Award Requirements and Contents of Federal Awards (§§ 200.200 - 200.216)
- Subpart D - Post Federal Award Requirements (§§ 200.300 - 200.346)
- Subpart E - Cost Principles (§§ 200.400 - 200.476)
- Subpart F - Audit Requirements (§§ 200.500 - 200.521)
- Appendices (Appendix I to Appendix XII)
  - Topics: Federally Required Contract Provisions

Federal Procurement Compliance

School Districts must comply with the procurement standards set forth in 2 CFR 200.318 -200.326, including but is not limited to:

- **Complying with federal and state General procurement standards**, including using documented procurement procedures which reflect all applicable laws, regulations, and standards

- **Maintaining oversight of Contractors** and **awarding Contracts only to responsible Contractors possessing the ability to perform** under the terms and conditions of the proposed procurement successfully

- **Maintaining written standards of conflict** covering conflicts of interest and organizational conflicts of interest

- **Maintaining records sufficient to detail the history of procurements** associated with the federally funded subaward

- **Providing full and open competition** per 2 CFR § 200.319 and **complying with standards of the five methods of procurement** described in 2 CFR § 200.320:
    - micro-purchases
    - small purchases
    - sealed bids (associated with construction contracts)
    - competitive proposals
    - non-competitive (sole source) proposals restrictions and exemptions

Simplifying Procurement with Cooperative Purchasing Agreements

School Districts can use the Master Agreement created by the Department of Administrative Services (DAS) - Central Procurement for purchasing goods & services for the school safety grant.

When using this option, the School Districts are required to:

- ensure proper federal contract provisions are included

- conduct the SAM.gov check for contractor debarment prior to entering into a contract

Key Guidance and Resources

- Governor's School Safety Initiative

- School Safety Improvement Program - Frequently Asked Questions (FAQs)

- Click here to email request for School Safety Improvement Program Application

- 2 CFR 200

- Sam.gov

Key Contacts

For questions regarding the School Safety Improvement Grant and to obtain the application, please email SchoolSafetyGrant@iowa.gov

Questions and Answers

Acronyms

- **BEDS** – Basic Educational Data Survey
- **CASA** – Consolidated Accountability and Support Application
- **CFR** – Code of Federal Regulations
- **DUNS Number** – Duns and Bradstreet Number
- **FAQ** – Frequently Asked Questions
- **HSEMD** – Iowa Department of Homeland Security and Emergency Management
- **SAM** – System for Award Management
- **UEI Number** - Unique Entity Identification Number

School Safety Grant Program: Examples of <u>Eligible </u>Expenses (see second page for ineligible expenses)

Notes:
- This is a working document and will be updated as needed.

- An item noted as eligible does not always mean it is eligible for grant funding. The item must be mentioned as a vulnerability or option for consideration in the vulnerability assessment.

1. **Entry control**
   a. Visitor management systems
   b. Interior or exterior door hardware for access control (locks, crash bars, etc.)
   c. Electronic access control systems (ID actuated, door prop alarms, electronically/mechanically coded, call button with or without a camera)
   d. Metal detectors
   e. Uninterruptible power supply for anything that supports electronic security systems
   f. Exterior/interior doors
   g. Secure vestibule

2. **Electronic security and communication systems**
   a. Video surveillance systems/equipment
   b. Intrusion detection systems/equipment
   c. Duress system/mass notification systems/alarms systems
   d. Electronic signage, i.e. monitors or TVs
   e. Communication equipment (radios, call boxes, cell boosters, etc.)
   f. Uninterruptible power supply for anything that supports electronic security and communication systems
   g. Weather radio
   h. Gunshot detection system

3. **Barriers**
   a. Vehicle barriers (bollards, large rocks, jersey barriers, etc.)
   b. Portable barriers
   c. Guard rails

4. **Perimeter security**
   a. Security-related signage (camera surveillance, controlled entry, exterior numbering of door signage)
   b. Fencing (chain link, brick and mortar, wrought iron, etc.). Enhancements can include anti-climb, privacy screening, K-rated, etc.
   c. Gates (drop arm, swing gate, moveable bollards, roller or slide gate, etc.)

5. **Illumination**
   a. Any type of interior or exterior lighting and related equipment such as dawn-to-dusk controllers, to include emergency lighting

6. **Building envelop**
   a. Safety glass or glass hardening measures (blast/safety film, blast curtains, bullet-proof, etc.)
   b. Exterior doors
   c. Outside HVAC/backup power area security
   d. External air intake protective measures
   e. Security mirrors for hallways/stairwells
   f. Window hardware
   g. Windows (ballistic rated, wire-reinforced, etc.)

School Safety Grant Program: Examples of <u>Ineligible </u>Expenses

Note: This is a working document and will be updated as needed

1. Entry control
    a. Vehicle placards for parking
2. Electronic security and communication systems
    a. No examples at this time
3. Barriers
    a. Trees or landscaping around or near the school even if intended to be a barrier
4. Perimeter security
    a. Signage not related to security, i.e. for no smoking/vaping, no firearms, no drugs
    b. Fencing when specifically related to a sporting complex, (football field, baseball/ softball field, etc.)
5. Illumination
    a. When not related to an attendance center, i.e. a sporting complex (football field, baseball/softball field, etc.)
6. Building envelope
    a. Window covering that purely prevents/inhibits viewing, i.e. shades, tinting, vinyl stickers (any glass covering must provide some sort of hardening protection as mentioned above)
    b. Floor markings
7. Miscellaneous
    a. Training not part of an approved security enhancement above, examples that are not eligible: ALICE training, Run-Hide-Fight training, ALERRT training, staff development training, even if related to active threats or security
    b. Exercises, i.e. hiring a contractor to assist in exercise development and execution, even if related to active threat or security
    c. Planning, i.e. hiring a contractor to assist in the development or updating of plans
    d. Threat assessment, i.e. hiring a contractor to assist in conducting or updating a threat assessment
    e. First aid kits, go kit, trauma kits, AEDs
    f. Interior door numbering
    g. Ongoing subscriptions related to a security improvement project that are not included in the initial package from the provider

School Safety Program - State Fire Marshal Guide

Notes
- This is a working document and will be updated as needed.

- This is not all inclusive, it uses the six categories of capital improvements that are eligible for reimbursement to provide insight to whether something should be submitted to the State Fire Marshal Division (SFMD) for approval. If there are questions please contact the State Fire Marshal Division at fminfo@dps.state.ia.us.

1. **Entry control**
   a. Visitor management systems
      i. If it is just a computer check-in system, SFMD does not need to see it. But if it incorporates a locking system, SFMD does need to see it. All locking submittals for schools need to include what is at this link https//dps.iowa.gov/sites/default/files/state-fire-marshal/fire-prevention/doorsecurement variancerequestform.pdf and should be sent to the following email fminfo@dps.state.ia.us
   b. Any type of locking systems for interior or exterior (locks, crash bars, electronic access, door prop alarms, etc)
      i. If it's a locking system, SFMD needs to see it. All locking submittals for schools need to include what is at this link https//dps.iowa.gov/sites/default/files/state-fire-marshal/fire-prevention/doorsecurement variancerequestform.pdf and should be sent to the following email fminfo@dps.state.ia.us
   c. Metal detectors
      i. The SFMD does not need to approve these
   ci. Uninterruptible Power Supply (UPS) for anything that supports electronic security systems
      i. The SFMD does not need to approve these
   cii. Exterior/Interior doors
      i. This does need to be a full submittal done by an architect to the SFMD website at https//iowa.imagetrendlicense.com/lms/public/portal#/login

2. **Electronic security and communication systems**
   a. Video Surveillance systems/equipment
      i. The SFMD does not need to approve these
   b. Intrusion Detection systems/equipment
      i. The SFMD does not need to approve these
   c. Duress System/Mass notification systems/alarms systems
      i. Typically these systems are part of the fire alarm systems so SFMD does need to see these submittals. This will be done through a design professional at https//iowa.imagetrendlicense.com/lms/public/portal#/login
   d. Electronic signage, i.e. monitors or TV
      i. The SFMD does not need to approve these
   e. Communication equipment (radios, call boxes, cell boosters, etc)
      i. The SFMD does not need to approve these

School Safety Program - State Fire Marshal Guide

3. Barriers
   a. Vehicle barriers (bollards, large rocks, jersey barriers, etc)
      i. The SFMD does need to review these both for exiting and ADA. This can be just a rough drawing email done by the school. Please send these to bcinfo@dps.state.ia.us
   b. Portable barriers
      i. The SFMD does need to review these both for exiting and ADA. This can be just a rough drawing email done by the school. Please send these to bcinfo@dps.state.ia.us
   c. Guard rails
      i. The SFMD does need to review these both for exiting and ADA. This can be just a rough drawing email done by the school. Please send these to bcinfo@dps.state.ia.us

4. Perimeter security
   a. Security related signage (camera surveillance, controlled entry, exterior numbering of door signage)
      i. The SFMD does not need to approve these
   b. Fencing (chain link, brick and mortar, wrought iron, etc) Enhancements can include anti-climb, privacy screening, K-rated, etc
      i. The SFMD does need to review these both for exiting and ADA. This can be just a rough drawing email done by the school. Please send these to bcinfo@dps.state.ia.us
   c. Gates (drop arm, swing gate, moveable bollards, roller or slide gate, etc)
      i. The SFMD does need to review these both for exiting and ADA. This can be just a rough drawing email done by the school. Please send these to bcinfo@dps.state.ia.us

5. Illumination
   a. Any type of interior or exterior lighting and related equipment such as dawn to dusk controllers, to include emergency lighting
      i. The SFMD does need to review these for exiting.   This needs to be a full submittal done by an architect to our website at https://iowa.imagetrendlicense.com/lms/public/portal#/login

6. Building envelope
   a. Safety Glass or glass hardening measures (blast/safety film, blast curtains, bullet-proof, etc)
      i. This needs to be a full submittal done by an architect to the SFMD website at https://iowa.imagetrendlicense.com/lms/public/portal#/login
   b. Exterior doors
      i. This needs to be a full submittal done by an architect to the SFMD website at https://iowa.imagetrendlicense.com/lms/public/portal#/login
   c. Outside HVAC/backup power area security
      i. The SFMD does not need to approve these
   d. External air intake protective measures
      i. The SFMD does not need to approve these
   e. Security mirrors for hallways/stairwells
      i. The SFMD does not need to approve these